



Annual Report

Fiscal Year 2013

**The Mission of the
Ohio Department of Developmental Disabilities**
is continuous improvement of the quality of life
for Ohio's citizens with developmental disabilities and their families.



Directly above: "Lou gets a surprise." - Lou DelGallo visits Beech Creek butterfly house and botanical gardens in Alliance, Ohio, with friends.



Department of
Developmental Disabilities

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About DODD

The Ohio Department of Developmental Disabilities (DODD) is responsible for overseeing a statewide system of supports and services for approximately 90,000 citizens with developmental disabilities and their families.

The DODD mission is continuous improvement of the quality of life for Ohio's citizens with developmental disabilities and their families.

In addition to the overriding mission stated above, our vision as a partnering agency within the Governor's Office of Health Transformation (OHT) is that Ohio's citizens with developmental disabilities and their families will have opportunities to experience successful and meaningful lives, participating in their communities. Individuals served in Ohio's developmental disabilities (DD) system have told us that they want to experience lifestyles that provide personal security, physical and emotional well-being, full community participation, and equal rights.

In line with this input, Ohio's DD system supports and provides a variety of services designed to assure individual health and safety, increase opportunities for meaningful community-based employment, residential options and support, and foster community inclusion and participation. The Department's role is to provide the leadership, oversight, and some funding for 88 County Boards of DD, along with a large network of service providers around the state. This leadership role affects the daily lives of thousands of individuals and their families.

The importance of each of these stakeholders cannot be over-estimated. County Boards implement all local services and supports, and thousands of service providers certified by DODD work with County Boards to provide critical services under the Boards' management.

A cabinet-level agency, the DODD Central Office is in Columbus, including most administration, program management, and leadership functions. In addition, ten Developmental Centers around the state provide residential resources and opportunities for individuals to learn skills needed to move to a less intensive, more community-based setting. The Centers also provide outreach to service partners, including consultation and staff training.



Governor,
John R. Kasich



Director,
John L. Martin

Leadership



Division of Fiscal Administration
Ann Rengert, Deputy Director



Division of Human Resources
Antoinette Wallace, Deputy Director



Division of Information Technology Services
Bryant Young, Deputy Director



Division of Legal and Oversight
Kate Haller, Deputy Director



Division of Legislative Affairs
and Communications
Zach Haughawout, Deputy Director



Division of Medicaid Development
and Administration
Patrick Stephan, Deputy Director



Division of Policy and
Strategic Direction
Monty Kerr, Deputy Director



Division of Residential Resources
Ginnie Whisman, Deputy Director

Director's Message

System Reforms, Challenging Norms ... Progress Continues

Dear State of Ohio Citizens:

I am pleased to provide the Ohio Department of Developmental Disabilities (DODD) Annual Report for Fiscal Year 2013, which documents what I believe is a fair amount of progress on many fronts. In particular I would like to cite positive trends for individuals with developmental disabilities due to the Ohio Employment First initiative, signed by Governor John Kasich in March 2012, as well as via the collective efforts of state agencies with whom we partner within the Office of Health Transformation (OHT).

Steps to reform the state's developmental disabilities service system have been strongly supported by this Administration, and I speak for each of our stakeholders when I say that we truly are grateful for and appreciative of this support. Through increased funding and with the support of our advocates and self advocates, real change is happening.

“Assuring fiscally sustainable programs and services that individuals with developmental disabilities have told us they want and need is the foundation for our planning.”

DODD continues to enjoy strong partnerships with Ohio's 88 County Boards of DD -- which are the gateway to our state's service system -- as well as with other service providers and advocacy groups. Together, they serve nearly 90,000 individuals and their families. These partnerships are strengthened by open discourse on many issues and are now furthered by new and established means of communication. These include online and social media enhancements to our website at www.dodd.ohio.gov, and applications ('apps') for cell phones. I am very enthusiastic about the strides made this year to enhance information management through technology. This is an ongoing focus for DODD, and efforts such as our new Data Warehouse will allow us to make more effective data-driven decisions, leading the way to a simpler system.

In this Annual Report we share some 2013 highlights, many of which are the result of collaborations with our stakeholders who have worked very hard to move major issues forward. These individuals and groups are seeking system reforms, challenging norms, and seeing progress. Looking ahead, DODD will be looking beyond the boundaries of years past, as federal and state funds are accessed to support community-based services and newly-defined opportunities.

*Sincerely,
John Martin*

In 2013, Athens County resident Andy Leinbaugh was presented Ohio's Self Advocate of the Year award. His acceptance remarks included this comment,

“I have had a lot of help and support along the way, and I will treasure this award!”

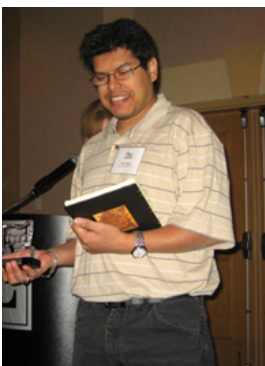


DODD Director John Martin addresses nearly 600 attendees from around the state at the annual Developmental Disabilities Awareness and Advocacy Day in March at the Ohio Statehouse. Regarding positive outcomes arising from advocacy efforts he stated,

“Today's program underscores for me the many accomplishments that Ohio's strong self advocates have helped to bring about in communities across the state.

I applaud each of you.

”



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Goals & Outcomes

DODD Strategic Goals and initiatives align with those of partnering agencies comprising the Office of Health Transformation (OHT), and support Administration objectives for improved fiscal responsibility and sustainability. A few are represented here.

Strategic Goal:

Rebalancing Long-Term Care, ICF/IID Conversion

DODD has begun a major effort to modernize the state's system of residential resources, including Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IIDs) and state-operated Developmental Centers. In response to input from the DD community, we have negotiated an historic agreement with stakeholders to significantly increase individuals' opportunities to live in community-based settings. Informally referred to as the 'Grand Bargain,' it includes a commitment by the field to convert up to 600 ICF/IID beds to waiver-funded supports, and to downsize up to 600 beds at larger ICF/IIDs to settings no larger than eight-bed homes. The conversion began in 2013, and will continue through 2018. This strategic goal aligns with DODD's long-term goal of *designing service delivery models in response to choices made by the people served, in alliance with community supports.*

Strategic Goal:

Improve Employment Outcomes for Individuals with DD

In FY 2013 DODD took a leadership role implementing Employment First policies to ensure that community employment is the preferred outcome for working-age individuals with developmental disabilities. The 2014-15 budget will provide \$6 million over the biennium for the Employment First line item. Improved employment outcomes also are supported by other efforts including Medicaid Buy-In for People with Developmental Disabilities (MBI), an initiative begun in 2008 now gaining traction around the state. This strategic goal is in line with DODD's long-term goal of *increasing the capacity of state and local systems to support individuals and families.*

Strategic Goal:

Streamline Administration, Improve Service Delivery

DODD has identified many opportunities to support this strategic goal to *create a more efficient and simpler service delivery system by streamlining program administration* within the agency, as well as with state and local partner agencies. Examples include DODD administration of the Transitions 'TDD' waiver (previously at ODJFS) and support to 'County Collaborative' and 'Imagine' pilot projects that harness and share creativity and funding within service regions, including technology resources.

“ MBI has allowed me to save more of the money I earn in my job. It has given me much more independence. In fact, it was the ticket to independence for me. ”



Strategic Outcome:

October 25, 2012 was a big day for Izzy (Isreal). It was the day he moved from Tiffin Developmental Center to a home in Fostoria (Seneca County). Izzy is shown here at the Developmental Center just a few days before the move.



Strategic Outcome:

Self advocate Courtney Jordan was the first individual to enroll in Medicaid Buy-In in Medina County. At an event in March she spoke enthusiastically of its positive affect on her life and finances. (See left)

FY 2013 Highlights

Highlights, by Division

Division of Policy and Strategic Direction - Employment First

Tasked with the exciting challenge brought forward with the signing of Governor Kasich's Executive Order that created the Employment First initiative in March 2012, this Division launched immediately into its implementation. FY 2013 saw these highlights:

- "Changing Expectations," the inaugural Employment First conference in Columbus, drew more than 500 attendees from around the state who will influence and implement change.
- Website launched at *www.ohioemploymentfirst.org*, in collaboration with the Ohio Center for Autism and Low Incidence (OCALI) and partnering state agencies.
- Kristen Helling joined the Division as Community Advisor in a leadership role coordinating Ohio's Employment First initiative.
- The Employment First Task Force developed recommendations for universal tools for service documentation, eligibility, selection, assessment, and planning, with to improve employment outcomes statewide.

Division of Medicaid Development and Administration - SELF

The first year of the Self Empowered Life Funding waiver (SELF), launched July 1, 2012, provided 129 enrollees with expanded services that, for the first time in a waiver, may be self-directed.

- Individuals enrolled during the first year include adults and children. All of the allotted state-funded waivers for Children with Intensive Behavioral Needs have been filled.
- DODD staff trained nearly 1000 service providers at several two-day SELF waiver training sessions conducted regionally. In addition, a number of online 'webinar' training sessions were offered, targeted to providers of specific services.

Division of Legal and Oversight - Streamlining Rules, Laws, Reports

In 2013 the Division worked to update and improve many Administrative Rules and Laws, and to clarify and simplify language in that process. In collaboration with state agency partners, the Division also is working to collect and track data that helps guide services and allow individual choice.

- Changes in the Ohio Revised Code included those that were made effective in September 2012 that provide options allowing individuals to participate in decisions that affect their lives, and to have their needs, desires, and preferences considered.
- The Division's Major Unusual Incident (MUI) unit launched an online system to augment reporting of issues concerning health and safety of individuals served in the statewide DD system. It allows for more timely and improved documentation and tracking of issues and individuals affected.

Highlights continue on p. 6



Kristen Helling introduces Director John Martin and others at the Employment First conference in June, stating,

“ Employment First directs Ohio’s state agencies to work together to remove barriers to community employment for people with developmental disabilities. ”



In her new apartment’s kitchen, Christine Brown (right), talks about the SELF waiver with Service Coordinator, Megan Larkin.

Recently, Christine spoke with other self advocates, noting,
“ The SELF waiver gives me service options that I need, and saves money for the system. Self-directed services are what’s needed – let’s speak up! ”

FY 2013 Highlights

Highlights, by Division continued from p. 5

Division of Residential Resources - System Downsizing, New Options

Efforts to reduce the census within Ohio's Developmental Center (DC) service system and offer other residential resources to individuals leaving the Centers resulted in more individual choice, and fiscally-responsible options including:

- A total of 207 individuals moved from the DC system into smaller, more community-based settings. This represents roughly 12 percent of the total DC system population during 2013, taking into account new admissions and resident deaths, concurrently. Of the 207 total, 115 moved into waiver-funded settings, and 80 moved to private ICFs.
- The 'Grand Bargain,' a major effort to modernize the ICF/IID program, includes a commitment by the field to convert up to 600 ICF beds to waiver-funded settings, and downsize up to 600 larger ICF-funded settings to homes no larger than eight beds.

Division of Legislative Affairs and Communications - Budgets, More News

The Division's Legislative efforts worked in tandem with Communications to provide a flow of useful information to its stakeholders, while achieving cost efficiencies. New partnerships and online information upgrades broadened these efforts, including:

- Added social media sites to the DODD online news presence, increasing reach and transparency, and encouraging dialogue.
- Partnered with the Ohio DD Council and other allied agencies to merge two large statewide events into one Awareness & Advocacy Day.
- Streamlined development of support materials for various initiatives by using agency collaborations to augment staff resources.

Division of Information Technology - Easier Access, Greater Capacity

This Division received statewide recognition for aggressively updating agency IT system network management and operations, as well as launching new applications compatible with state-of-the-art technology. These efforts included:

- A DODD mobile application ('app') for smart phones was introduced, allowing anyone interested in adding the feature to their smart phone to keep up easily with Department news and updates.
- Roll-out of a 'virtual desktop infrastructure' within the agency, to allow for increased capacity, and faster information retrieval and processing.

Division of Human Resources - Workforce Planning, Consolidation of Functions

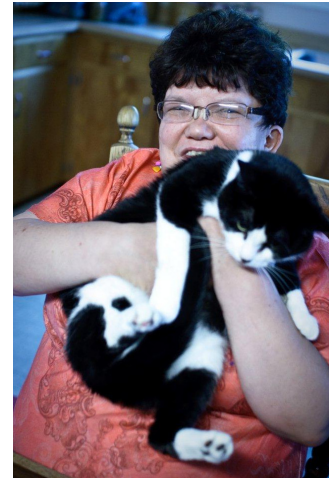
Workforce Planning efforts that forecast human resource needs based on data are at the core of DODD's HR system.

- 2013 saw additional consolidation of functions between DODD Central Office HR and similar functions at the ten Developmental Centers, including Workforce Planning initiatives based on downsizing efforts.

Division of Fiscal Administration - Data-Based Resource Management

See Fiscal Administration highlights on page 8, 'Expense Summary.' Also noteworthy:

- Management of a \$3.1 billion budget was top priority. The transfer of the TDD waiver and funding for ICFs (from the Ohio Department of Job and Family Services), the Division's responsibilities increased from managing a budget of about \$1.9 billion, to the \$3.1 billion figure.



Marsha is living in the least restrictive setting possible, and making choices about how she lives. She recently moved from Gallipolis Developmental Center to a family setting in the area.

“ Marsha fit into our family quite easily. She was instantly comfortable in our home and had no problems acclimating. She has a bedroom decorated with her personal belongings and loves the cat. ”

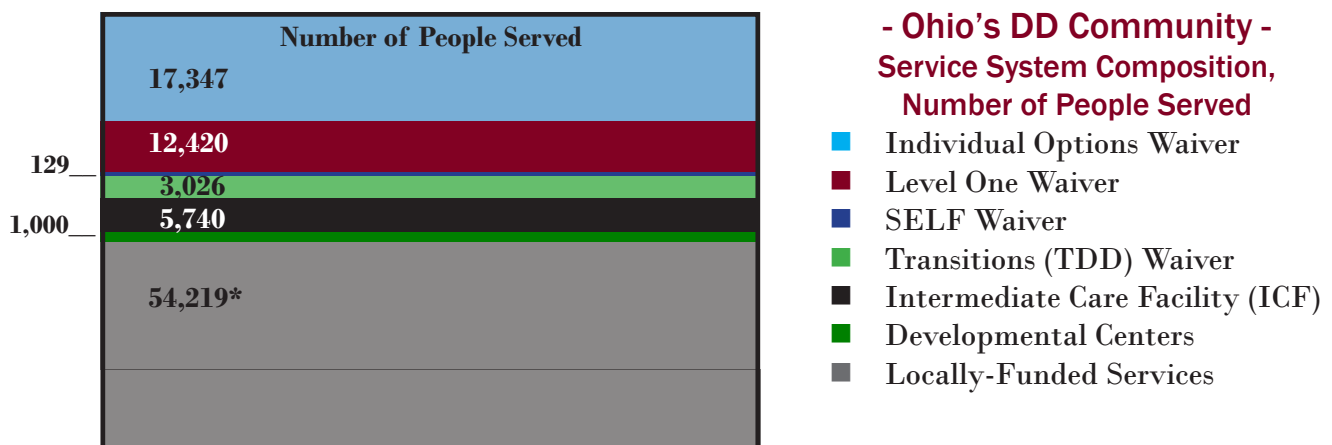
-The Shepler Family

System At-a-Glance

Ohio's developmental disabilities system is supported by a unique combination of local, state, and federal dollars, with local dollars playing a significant role.

The information below provides an overview of this combined service delivery system and the number of individuals served by its various parts. DODD's three major funding areas are: waiver match; subsidy support for services provided by County Boards of DD; and, state-operated Developmental Centers.

This FY 2013 snapshot of service system composition within the statewide DD community illustrates County Boards' reliance on local funding, and the resulting need to maximize every local dollar available for services. DODD is working with County Boards through regional collaborative efforts that will help to do this, with assistance from the Department in developing IT and administrative infrastructure support. Shared outcomes are focused on person-centered planning and ensuring long-term sustainability of our system.



* Not on a waiver or living in an ICF or DC

Total served, including all funding areas: 93,881

“*The number of Ohioans with DD served in our statewide system continues to grow. We recognize the many barriers and imperfections in our system, and look forward to working with County Boards and other service providers toward defined values, and the usage of funds to support those values. What is so important here is the opportunity for fully-informed choice for individuals. It's fundamental.*”

-DODD Director John Martin speaking to stakeholders, April 2012



Services provided at the local level include Early Intervention (EI) and related specialized teaching models for children ages birth to three years old, and their families. The DODD Division of Policy and Strategic Direction has emphasized the importance of these efforts by supporting training and education with dedicated staff and dedicated funding. Many children reached effectively through EI programs may not need DD services as adults.

Georgia Metzler and her mother Catie play with a Christmas present during a home visit by an Early Intervention Specialist from the Scioto County Board of DD. Says Catie, “We are very grateful that these programs exist. We have seen such improvement in Georgia.”

FY 2013 Expenses

DODD Expense Summary

Personal Services - Payroll	\$182,152,891.63
Purchased Personal Services & Other	\$19,520,985.16
Supplies & Maintenance	\$31,683,234.03
Equipment	\$2,353,581.69
Subsidies & Shared Revenue	\$2,027,038,156.56
Goods & Services for Resale	\$24.33
Judgments, Settlements, Bonds	\$601,875.89
Debt Service	\$15,887,445.50
Transfers & Non-Expense	\$40,607,409.29
Total expenses, including all categories:	\$2,319,845,604.08



“

As we put together the budget as partners within the Office of Health Transformation, for the first time ever, state agencies that use Medicaid funds are aligning all of our spending categories to include the same line items. This is immensely helpful ... as we are operating, then, as a single Medicaid entity. Medicaid funding does not stay flat, so we can better forecast growth and needs.

-DODD Director John Martin, December 2012

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How Funding Supports Programs: Percentage of expenditures, by program.

Waivers	56.86%
Other Community Programs	7.6%
Developmental Centers	8.92%
Intermediate Care Facilities	24.83%
Central Office	1.78%
Of the total expenditures, Medicaid funds support 95.39%, and 4.61% are non-Medicaid funds.	

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We didn't just cut (dollars) ... we re-engineered. Other states are looking at what we have done in Ohio because we are getting it right!

-Governor John Kasich, State-of-the-State address, February 2013

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